

Curriculum Vitae

Yongshun CAI
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Division of Social Science
The Hong Kong University of Science and Technology

Education

Ph.D in Political Science, 2001
Stanford University

Master's in Social Science, 1997
Hong Kong University of Science & Technology

Master's in History, 1993
Nanjing University

Bachelors' in English, 1990
Jiangsu Second Normal University

Employment

2001 – 2004: Assistant professor
Department of Political Science, National University of Singapore

2004 – 2008: Assistant professor
Division of Social Science, HKUST

2008 – 2011: Associate professor
Division of Social Science, HKUST

2011— 2017: Professor
Division of Social Science, HKUST

2017— Chair Professor
Division of Social Science, HKUST

Publications

Book:

1. *The Occupy Movement in Hong Kong: Sustaining Decentralized Protest* (Routledge, 2016).

2. *State and Agents in China: Disciplining Government Officials* (Stanford University Press, 2014).
3. *Collective Resistance in China: Why popular protests succeed or fail* (Stanford: Stanford University Press, 2010).
4. *State and Laid-Off Workers in Reform China: The Silence and Collective Action of the Retrenched*. London: Routledge, 2006).

Journal Article:

1. **“Community Elites and Collective Action: The State and the Starved during the Chinese Famine,”** *Politics and Society*, vol. 48, no. 1 (2020), pp. 90-130.
2. “Information as a source of pressure: Local government and information management in China,” *Interdisciplinary Political Studies* (special issue on China and Russia), vol. 5, no. 3 (2019), pp. 478-509.
3. **“Online Political Participation in China: Local Government and Differentiated Response,”** *China Quarterly*, no. 238 (2019), pp. 331-352.
4. “Medical disputes and Mediation in China: Government and Responsibility Shifting” (with Jing Zhang), *China Information*, vol. 33, no. 3 (2019), pp. 350-371.
5. “New Information Communication Technologies and Social Protest in China,” *Asian Survey*, vol. 56, no 4 (2016), 731-753 (with Zhou Titi).
6. “Institutions and provision of public goods in rural China,” *China Review*, vol. 16, no. 2 (2016), pp. 55-83 (with Zhu Lin)
7. “Interests and Political Participation in Urban China: The Case of Residents’ Committee Elections,” *The China Review*, Vol. 15, No. 1 (Spring 2015), 95–116 (with Guan Bing).
8. “Managing Group Interests in China: State Priorities and Group Power,” *Political Science Quarterly*, vol. 129, no. 1 (2014), pp. 107-131.
9. “Leaders with mixed motivation: Homeowners’ activism in Beijing” (with Sheng Zhiming), *China Quarterly*, vol. 215 (2013), pp. 513-532.
10. “Disciplining Local Officials in China: The Case of Conflict Management” (with Lin Zhu), *China Journal*, no. 70 (2013), pp. 98-119.
11. “Rural Cadres and Governance in China: Incentive, Institution, and Accountability” (with James Kung and Xiulin Sun), *China Journal*, No. 62 (2009), pp. 61-77.
12. “Social Conflicts and Modes of Action in China,” *China Journal*, vol. 59 (2008), pp. 62-82.

13. "Power Structure and Regime Resilience: Contentious Politics in China," *British Journal of Political Science*, vol. 38, no. 3 (2008), pp. 411-432.
14. "Local Governments and the Suppression of Popular Resistance in China," *China Quarterly*, no. 193 (2008), pp. 24-42.
15. "Disaggregating the State: Networks and Collective Action in Shanghai" (with Fayong Shi), *China Quarterly*, no. 186 (2006), pp. 314-332 (Won the Gordon White Prize for the most original article published in *China Quarterly* in 2006).
16. "China's Moderate Middle Class: The Case of Homeowners' Resistance," *Asian Survey*, no. 5 (2005), pp. 777-799.
17. "State Power and Unbalanced Legal Development in China," *Journal of Contemporary China*, (with Songcai Yang), vol. 14, no. 42 (2005), pp. 117-134; reprinted in Shuisheng Zhao (ed.), *Political Reform in China: the Rule of Law versus Democratization* (M.E. Sharpe, 2006).
18. "Managed Participation in China," *Political Science Quarterly*, vol. 119, no. 3 (2004), pp. 425-451.
19. "Irresponsible state: Local Cadres and Image Building in China," *Journal of Communist Studies and Transition Politics*, vol. 20, no. 4 (December 2004), pp. 20-41.
20. "Collective Ownership or Cadres' Ownership? The Nonagricultural Use of Farmland in China," *China Quarterly*, no. 175 (2003), pp. 662-680.
21. "The Resistance of Chinese Laid-off Workers in the Reform Period," *China Quarterly*, no. 170 (2002), pp. 45-62.
22. "Relaxing the Constraints from above: The politics of privatizing public enterprises in reform China," *Asian Journal of Political Science*, vol. 10, no. 2 (2002), pp. 94-121.
23. "Between State and Peasants: Local cadres and statistical reporting in rural China" *China Quarterly*, no. 163 (2000), pp. 783-805.
24. "Property Rights and Evolving Fertilizing Practices in Rural China: Evidence from Northern Jiangsu" (with James Kung), *Modern China*, vol. 26, no. 3 (2000), pp. 276-308.

Refereed Book Chapter:

1. "Protestors and Tactical escalation," in Ma Ngok and Edmund Cheng (ed.), *The Umbrella Movement* (Amsterdam: The University of Amsterdam Press, 2019), pp. 209-231.
2. "Information collection and anticorruption in China," in Xiaowei Zang and Chien-wen Kou (eds.), *Elites and Governance in China* (London: Routledge, 2013), pp. 136-152.

3. "Distinguishing between the Losers: Institutionalizing Inequality in China's Corporate Restructuring," in Jean Oi (ed.), *Going Private in China: The Politics of Corporate Restructuring and System Reform* (Stanford: Stanford University Press, 2011). Pp. 71-94.
4. "The Weak Resistance of Chinese Laid-Off Workers," in Thomas Gold et. al (eds.), *Laid-Off Workers in a Workers' State: Unemployment with Chinese Characteristics* (Palgrave Macmillan, 2009), p. 203-224.
5. "Disruptive Collective Action in China during the Reform Period," in Kevin O'Brien (ed.), *Popular Protest in China* (Cambridge: Harvard University Press, 2008), pp. 163-178.
6. "Civil Resistance and Rule of Law in China: the Case of Defending Home Owners' Rights," in Elizabeth Perry and Merle Goldman (eds.), *Grassroots Politics in China* (Cambridge: Harvard University Press, 2007), pp. 174-195.
7. "Managing Social Unrest," in John Wong and Lai Hongyi (eds.), *China Into the Hu-Wen Era* (Singapore: World Scientific, 2006), pp. 379-404.
8. "The Weakening of Workers' Power in China," in Kjeld Erik Brodsgaard and Zheng Yongnian (eds.), *The Chinese Communist Party in Reform* (London: Routledge, 2006), pp. 173-191.
9. "Decentralization and Local Protectionism in China," in Gedeon Mudacumura and Shamsul Haque (eds.), *Handbook of Development Studies* (New York: Marcel Dekker, Inc., 2004), pp. 393-409.

Book Review

1. *Reform and the Non-State Economy in China: The Political economy of liberalization strategies* (Hongyi Lai, 2006), *Economic Development and Cultural Change*, vol. 58, no. 2 (2010), pp. 382-384.
2. *Against Law: Labor Protests in China's Rustbelt and Sunbelt* (Ching Kwan Lee, 2007), *Social History*, vol. 34, no. 3 (2009), pp. 381-382.
3. *The Chinese Worker after Socialism* (William Hurst, 2009), *The China Quarterly*, vol. 198 (2009), pp. 468-470.
4. *China's Deep Reform* (edited by Lowell Dittmer and Goli Liu), *China Journal*, no. 58 (2007), pp. 206-207.
5. *Unemployment, Inequality, and Poverty in Urban China* (edited by Lishi and Hiroshi Sato), *China Review*, vol. 3, no. 2 (2006), pp. 459-462.
6. *The Chinese Legal System* (by Pitman Potter), *Asian Journal of Political Science*, vol. 11, no. 1 (2003), pp. 112-115.

Research Grant:

1. "Legal Institution building in China" (principal investigator), 12 December 2001-12 December 2002, National University of Singapore, HK\$ 50,000

2. “State and Laid-off Workers in China” (principal investigator), 6 April 2003-6 December 2003, National University of Singapore, HK\$10,000
3. “Western Development in China,” (co-principal investigator, with Lai Hongyi), February 2004 –April 2006, National University of Singapore, HK\$100,000
4. “Stats-Peasant Relations in Post-Tax Reform China” (Principal investigator), 1 March 2005-1 February 2007, HK\$ 86,500, DAG 04/05 HSS01
5. “Determinants of Local Public Goods Provision in Rural China” (Co-investigator), Research Project Competition 2008, June 30 2008—31 August 2010, HK\$ 330,000 (RPC 07/08 HS 08)
6. “Social Conflicts and Social Stability in China” (Principal investigator), June 2008—June 2010, Chiang Ching Kuo Foundation (CCK07/08.HSS01), US\$13,610 (HK\$ 106,158).
7. “Managing Social Conflicts in China: A Comparative Study of Government Response” (Principal investigator), RGC of Hong Kong Government (640108). September 10, 2008-March 9, 2011, HK\$ 307,000.
8. “The Disciplining of Government Officials in China” (Principal investigator), Hong Kong University of Science and Technology, (FSGRF12HS01), 2012-2014, HK\$ 50,000.
9. “Government Inaction and Social Injustice in China” (Principal investigator), Hong Kong University of Science and Technology (FSGRF13HSS03), 2012-2014, HK\$ 50,000.
10. “Local governments and regime stability in China” (Principal investigator), Hong Kong University of Science and Technology, (SBI15HS04), 2015-2016, HK\$ 75,000.
11. “State and Conflict Resolution in China: The Case of Medical Dispute,” GRF of Hong Kong Government, (16633316), HK\$497,465.

Award:

1. “Disaggregating the State: Networks and Collective Action in Shanghai” (with Fayong Shi), *China Quarterly*, no. 186 (2006), pp. 314-332; won the Gordon White Prize for the most original article published in *China Quarterly* in 2006).
2. Best Teaching Award, 2014
School of Humanities and Social Science
HKUST

Editorial Board Member

- China Perspective* (2019--)
China Policy Journal (2018--)

Chinese Journal of Sociology (2015--)

Services

University level:

Member, Research and Travel Grant Committee, 2007-2013

Member, Committee of Postgraduate Studies, 2011-2013; 2016-17; 2018-2020

Member, Interdisciplinary Postgraduate Studies Committee, 2011-2012.

Member, Taskforce of Taught Postgraduate Programs, 2011-2012

Member, Postgraduate Admission Committee, 2013-2017, 2018-19

Member, Senate, 2013-2014

Member, Honorary Degree Committee, 2019-2020

Member, Chair Professor Nomination Committee, 2018-2019

School level:

Associate Dean (PG affairs), School of Humanities and Social Science, 2010-2013; 2018-2020

Member, Executive Committee, 2010-2013; 2018-2020

Director, MA in Global China Studies, 2011-2013

Member/Chair, Appointment and Substantiation Committee (2013-2014)/(2015-16).

Division level:

Chair, Postgraduate Committee, 2008-2010

Director, MA in Social Science, 2008-2010

Chair, Recruitment Committee, 2013-2014; 2019-2020

Chair, Substantiation and Promotion Committee, 2012-2013, 2016-2017; 2018-2019

Chair, Merit Review Committee, 2015-2016; 2017-2018.

Community Services

Council member, Oxfam Hong Kong, 2011-2013

Advise committee, Oxfam Hong Kong, 2013-2016